

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

SUPPLEMENTAL INFORMATION SCLOSURE STATEMENT BY APPLICANTS

ATTY. DOCKET NO. CF-82	APPLICATION NO. 10/615,721
APPLICANTS Howard W. Lutnick et al.	CONFIRMATION NO. 9421
FILING DATE July 8, 2003	GROUP 3629

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/M.Z./	6,321,212 B1	11/20/01	Lange	705	37	
/M.Z./	2002/0099640 A1	07/25/02	Lange	705	37	
/M.Z./	2002/0147670 A1	10/10/02	Lange	705_	35	
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EXAMINER	R DOCUMENT DATE COUNTRY CLASS	CLASS	ASS SUBCLASS	TRANSLATION			
INITIAL	NUMBER	DATE	COOKINI	CLASS	CCCCLAGG	YES	NO
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
/M.Z./	David Edwards, Patent Backed Securitization: Blueprint For a New Asset Class, pp. 1-11, March 1, 2002.
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EXAMINER

/Michael Zecher/

DATE CONSIDERED

09/04/2007

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

Complete If Known			
Application Number	10/615,721		
Filing Date	08 Jul 2003		
First Named Inventor	Lutnick, et al.		
Art Unit	3629		
Examiner Name	MOONEYHAM		
Attorney Docket Number	03-1082		

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Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
/M.Z./		^{US-} 6556992	4/29/2003				
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Examiner Initials*	Cite No.¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	-6
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	<u> </u>
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Examiner Signature	/Michael Zecher/	Date Considered	09/04/2007
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